

Swift Release 2012– ISO 15022 MT 548 – Settlement Status and Processing Advice

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION
Mandatory Sequence A General Information				
M	16R	Start of Block	GENL	GENL
M	20C	SEME - Sender's Reference	4!c//16x	SEME// Reference
M	23G	INST CAST	4!c/[4!c]	INST - Transaction Status CAST – Confirmation of Cancellation
Repetitive Mandatory Subsequence A1 Linkages				
M	16R	Start of Block	LINK	LINK
M	20C	Related Reference	4!c//16x	RELA - Related Reference
M	16S	End of Block	LINK	LINK
End of Subsequence A1 Linkages				
Repetitive Mandatory Subsequence A2 Status				
M	16R	Start of Block	STAT	STAT
M	25D	Status	4!c/[8c]/4!c	MTCH//MACH MTCH/NMAT SETT/PEND SETT/PENF CPRC//CAND CPRC/DEND
Repetitive Optional Subsequence A2a Reason				
M	16R	Start of Block	REAS	
M	24B	Reason	4!c/[8c]/4!c	NMAT// or PEND// or PENF// or CAND// or DEND// Please see below the list of qualifiers in
O	70D	Narrative	4!c/6*35x	REAS - Reason Narrative
M	16S	End of Block	REAS	REAS
End of Subsequence A2a Reason				

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION
M	16S	End of Block	STAT	STAT
End of Subsequence A2 Status				
M	16S	End of Block	GENL	GENL
End of Sequence A General Information				
Optional Sequence B Settlement Transaction Details				
M	16R	Start of Block	SETTRAN	SETTRAN
M	35B	Identification of Financial Instr.	ISIN1!e12!c (ISIN Identifier) 4*35x (Narrative)	ISIN codes
M	36B	Quantity of Financial Instr.	4!c/4!c/15d (Qualifier) (Type) (Quantity)	SETT//FAMT/ UNIT SETT - Quantity of Financial Instr. to be Settled <u>Type:</u> FAMT - Face Amount UNIT - Unit
M	19A	Amount	<u>Option A:</u> 4!c/[N]3!a15d (Qualifier)(Sign) (Currency) (Amount)	SETT// SETT - Settlement Amount
M	97A	Account	<u>Option A:</u> 4!c/35x (Qualifier)(Acc ount)	SAFE// SAFE - Safekeeping Account
M	22F	SETR/TRAD	<u>Option F:</u> 4!c/[8c]4!c (Qualifier)(Data Source Scheme)(Indic ator)	Trade type
M	22H	REC/DEL	<u>Option H:</u> 4!c/4!c (Qualifier)(Indic ator)	REDE//DELI or REDE//RECE REDE - Receive/Deliver Indicator DELI - Deliver RECE - Receive
M	22H	PAYM//APMT or PAYM//FREE	<u>Option H:</u> 4!c/4!c (Qualifier)(Indic ator)	PAYM - Payment Indicator APMT - Against Payment FREE - Free of Payment
M	98A	Settlement Date	<u>Option A:</u> 4!c/8!n (Qualifier) (Date)	SETT// Settlement Date (YYYYMMTT)

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION
Repetitive Optional Subsequence B1 Settlement Parties				
M	16R	Start of Block	SETPRTY	SETPRTY
M	95a	Del./Rec. of Securities	<u>Option P:</u> 4!c//4!a2!a2!c [3!c] <u>Option R:</u> 4!c/8c/34x <u>Option Q:</u> 4!c//4*35x	Qualifier depending on trade type: if RECE =DEAG (1) if DELI =REAG (2)
O	95a	Beneficiary	<u>Option P:</u> 4!c//4!a2!a2!c [3!c] <u>Option R:</u> 4!c/8c/34x <u>Option Q:</u> 4!c//4*35x	BUYR // Only if DELI = BUYR
O	97A		<u>Option A</u> 4!c//35x	SAFE// Safe Keeping Account
O	95a	Delivering Instructing Party	<u>Option P:</u> 4!c//4!a2!a2!c [3!c] <u>Option R:</u> 4!c/8c/34x <u>Option Q:</u> 4!c//4*35x	SELL// Only if RECE = SELL
O	97A		<u>Option A</u> 4!c//35x	SAFE// Safe Keeping Account
M	16S	End of Block	SETPRTY	SETPRTY
End of Subsequence B1 Settlement Parties				
M	16S	End of Block	SETTRAN	SETTRAN
End of Sequence B Settlement Transaction Details				

KEYWORDS in MT548

CAND//CANI	Instruction has been cancelled as you requested
NMAT//ADEA	Account Servicer Deadline Missed
NMAT//CMIS	Matching Instruction not found
NMAT//CLAT	Counterparty too late for matching
NMAT//CPCA	Counterparty has cancelled their instruction
NMAT//DDEA	Disagreement Deal Price
NMAT//DDAT	Disagreement Settlement Date
NMAT//DELN	Disagreement Direction of Trade
NMAT//DEPT	Disagreement Place of Settlement
NMAT//DMON	Disagreement Settlement Amount
NMAT//DQUA	Disagreement Quantity
NMAT//DSEC	Disagreement Security
NMAT//DTRA	Not recognised
NMAT//DTRD	Disagreement Trade Date
NMAT//FRAP	Disagreement Payment Code
NMAT//IIND	Disagreement common reference
NMAT//ICAG	Incorrect Agent
NMAT//IEXE	Incorrect Buyer or Seller
NMAT//ICUS	Disagreement receiving delivering custodian
NMAT//LEOG	Disagreement Letter or Guarantee Indicator
NMAT//LATE	Counterparty was too late for day matching
NMAT//NCRR	Disagreement Currency Settlement Amount
NMAT//NARR	See narrative for details
NMAT//NMAS	No Matching started
NMAT//PHYS	Disagreement Physical settlement
NMAT//PLCE	Disagreement Place of Trade
NMAT//PODU	Instruction has not been matched and is a possible duplicate instruction
NMAT//REGD	Disagreement Registration Details
NMAT//SAFE	Disagreement Safekeeping Account
NMAT//SETR	Settlement transaction type does not match
NMAT//SETS	Disagreement Settlement/System Method
NMAT//TXST	Disagreement Tax Status
PEND//ADEA	Account Servicer Deadline Missed
PEND//AWMO	Financial Instruments are delivered, but still awaiting money from counterparty
PEND//AWSH	Financial Instruments have not yet been received from counterparty
PEND//BATC	The processing batch differs in the counter
PEND//BENO	Disagreement in beneficial ownership.
PEND//BLOC	Your account is blocked, no instruction can settle over the account
PEND//BOTH	Both Instructions on Hold
PEND//CAIS	Awaiting financial instruments from corporate action or other procedure
PEND//CDLR	Instruction is in a hold/frozen/preadvice mode
PEND//CERT	Wrong Certificate numbers
PEND//CLHT	Settlement date does not agree with settlement date of Clearing House/India
PEND//CYCL	Your instruction is confirmed in the local market
PEND//CLAC	Counterparty insufficient Securities
PEND//CMON	Counterparty insufficient Money
PEND//COLL	Securities are not deliverable because they are
PEND//CSDH	CSD has set instruction in a hold/frozen/preadvice mode
PEND//CVAL	Instruction in a hold/frozen/preadvice mode as it fulfils predefined conditions of a restrictions Processing type in the market infrastructure platform
PEND//DEPO	Refused Deposit for Issue of Depositary Receipts
PEND//DISA	Natural disaster
PEND//DOCC	Awaiting Documents/Registration Instructions/Endorsements from Counterparty
PEND//DOCY	Awaiting Documents/Registration Instructions/Endorsements from you

PEND//DENO	Quantity instructed does not match the domination available/deliverable
PEND//DKNY	Counterparty has returned or refused the secure
PEND//FLIM	Maximum Foreign Limit reached
PEND//FUTU	Awaiting settlement date. No settlement problems to be reported
PEND//FROZ	Financial instruments are blocked at CSD following a corporate event
PEND//GLOB	Global Form Securities
PEND//IAAD	Status Reason Investigation
PEND//INBC	Incomplete Number Count
PEND//LALO	Securities are Loaned Out
PEND//LATE	Market Deadline Missed
PEND//LACK	Lack of securities
PEND//LINK	Instruction is pending due to a pending linked
PEND//LIQU	Central Bank Liquidity is insufficient
PEND//MINO	Minimum Settlement Amount
PEND//MUNO	Multiple Settlement Quantity
PEND//MONY	Insufficient Money in your account
PEND//NEWI	New Issues
PEND//NCON	Confirmation Not Received
PEND//NOFX	No Foreign Exchange Instruction
PEND//NARR	See narrative field for reason
PEND//NCON	Confirmation of settlement not yet been received
PEND//NMAS	No matching required
PEND//PHCK	Physical Securities in Verification Process
PEND//PHSE	Physical Delivery Delay
PEND//PRCY	Counterparty Instruction on Hold
PEND//PREA	Your Instruction on Hold
PEND//REFS	Not in Good Order
PEND//REGT	Certificates Rejected
PEND//REFU	Instruction Refused/Not Recognised
PEND//SDUT	Lack of Stamp Duty Information
PEND//YCOL	Collateral Shortage
PEND//SBLO	Securities blocked
PEND//SETS	Settlement system/method has been modified at CSD to allow settlement
PENF//ADEA	Account Servicer Deadline Missed
PENF//AMWO	Awaiting Money
PENF//AWSH	Awaiting Securities from Counterparty
PENF//BATC	Processing Batch Difference
PENF//BENO	Beneficial Ownership Disagreement
PENF//BLOC	Account Blocked
PENF//BOTH	Both Instructions on Hold
PENF//BYIY	Buy-in Procedure
PENF//CAIS	Awaiting Securities
PENF//CDLR	Instruction is in a hold/frozen/preadvice mode
PENF//CERT	Wrong Certificate Numbers
PENF//CLHT	Clearing House Trade
PENF//CYCL	Awaiting Next Settlement Cycle
PENF//CLAC	Counterparty insufficient Securities
PENF//CMON	Counterparty insufficient Money
PENF//COLL	Securities pledged as collateral
PEND//CSDH	CSD has set instruction in a hold/frozen/preadvice mode
PEND//CVAL	Instruction in a hold/frozen/preadvice mode as it fulfils predefined conditions of a restrictions Processing type in the market infrastructure platform
PENF//DEPO	Refused Deposit for Issue of Depositary Receipts
PENF//DISA	Natural disaster
PENF//DOCC	Awaiting Documents/Endorsements from Counterparty
PENF//FLIM	Maximum Foreign Limit Reached
PENF//IAAD	Status Reason Investigation
PEND//INBC	Incomplete Number Count
PENF//LALO	Securities are Loaned Out
PENF//LAAW	Awaiting other transaction
PENF//LACK	Lack of securities
PENF//LINK	Pending Linked Securities

PENF//LIQU	Insufficient Central Bank Liquidity
PENF//LATE	Market deadline missed
PENF//MINO	Minimum Settlement Amount
PENF//MLAT	Money/Securities too late for Settlement
PENF//MUNO	Multiple Settlement Amount
PENF//MONY	Insufficient Money in your account
PENF//NOFX	No Foreign Exchange Instruction
PENF//NCON	Confirmation not received
PENF//NARR	See narrative field for reason
PENF//OBJT	Under Objection
PENF//PART	Trade settles in Partials
PENF//PHCK	Physical Securities in Verification Process
PENF//PHSE	Physical Delivery Delay
PENF//PRCY	Counterparty instruction on Hold
PENF//PREA	Your Instruction on Hold
PENF//REFS	Not in Good Order
PENF//REGT	Certificates Rejected
PENF//SDUT	Lack of Stamp Duty Information
PENF//SBLO	Securities Blocked
PENF//YCOL	Collateral Shortage