

Zakonom o sprečavanju pranja novca i finansiranja terorizma („Sl. Glasnik RS“, br. 113/2017) i Odluke o smernicama za primenu odredaba Zakona o sprečavanju pranja novca i finansiranja terorizma za obveznike nad kojima Narodna Banka Srbije vrši nadzor („Sl. Glasnik RS“, br. 13/2018), u postupku uspostavljanja poslovnog odnosa sa finansijskim institucijama, propisuje se obaveza da Erste Bank a.d. Novi Sad pribavi sledeće informacije u vezi sa klijentima koji su funkcioneri:

*Law on the Prevention of Money Laundering and Terrorism Finance (“Official Gazette of the Republic of Serbia”, no. 113/2017) and Decision on Guidelines for the Application of the Provisions of the Law on the Prevention of Money Laundering and Terrorism Finance for the Obligors Supervised by the National Bank of Serbia (“Official Gazette of the Republic of Serbia”, no. 13/2018), in the process of establishing the business relation with Erste Bank a.d. Novi Sad, requires that financial institutions obtain the following information in connection with clients who are politically exposed:*

## IZJAVA O STATUSU FUNKCIONERA STATEMENT OFFICIAL STATUS

1. Izjavljujem da sam \_\_\_\_\_  
(ime, prezime, adresa, datum i mesto rođenja, JMBG, vrsta i broj ličnog dokumenta, naziv izdavaoca i datum i mesto izdavanja)

trenutno angažovan kao, odnosno da samo ovu funkciju obavljao u protekle četiri godine i više je ne obavljam:

*I state that I \_\_\_\_\_  
(name, surname, address, date and place of birth, national identification number, type and number of personal document,*

*name of the issuer and date and place of issue)*

*am currently engaged as, i.e. that I was at this position in the past four years and that I do not hold it any more:*

**1. Funkcioner<sup>1</sup>**  
**Official<sup>1</sup>**

a) DA  b) NE   
a) YES  b) NO

**2. Član uže porodice funkcionera<sup>2</sup>**  
**Close family member of an official<sup>2</sup>**

a) DA  b) NE   
a) YES  b) NO

**3. Bliži saradnik funkcionera<sup>3</sup>**  
**Close associate of an official<sup>3</sup>**

a) DA  b) NE   
a) YES  b) NO

**I. Ukoliko ste Vi funkcioner, ili ste bili funkcioner / If you are the person who holds or has held a position of politically exposed person:**

Moja funkcija je \_\_\_\_\_  
*Title of Position Held*

U kojoj državi (jurisdikciji) \_\_\_\_\_  
*In what jurisdiction is/was the position held?*

U kom periodu \_\_\_\_\_  
*During what time period was the position held?*

**II. Ako ste član uže porodice funkcionera / If you are close family member of an official**

Kao član uže porodice funkcionera u odnosu sa funkcionerom sam \_\_\_\_\_  
(navesti porodični odnos prema 2)

*As a member of close family member of an official, my relationship to that person is \_\_\_\_\_  
(state family relationship according to 2)*

Navedite ime funkcionera čiji ste član uže porodice: \_\_\_\_\_

*What is the name of the politically exposed person you are a family member of? \_\_\_\_\_*

### III. Ako ste bliži saradnik funkcionera / If you are a close associate of an official

Kao bliži saradnik funkcionera moj poslovni odnos sa funkcionerom je \_\_\_\_\_  
(navesti podatke o vrsti poslovne saradnje prema 3)

As a close associate of an official my business relationship with an official is \_\_\_\_\_  
(state business relationship according to 3)

Navedite ime funkcionera čiji ste bliži saradnik: \_\_\_\_\_

What is the name of the politically exposed person you are a close associate? \_\_\_\_\_

#### Dodatno pitanje / Additional question

Da li ste povezani sa još nekim funkcionerom kao član uže porodice funkcionera ili bliži saradnik funkcionera? DA  NE

Are you connected to one or more persons who hold or has held an official position: YES  NO

Saglasan sam da, davanjem bilo kog potvrdnog odgovora na tačke 1, 2 i 3 ove Izjave, pružim Banci sve tražene podatke o poreklu sredstava koja će biti predmet poslovnog odnosa sa Bankom, odnosno transakcije koju ću vršiti preko Banke, kao i podatke o celokupnoj imovini, te da ću podneti Banci sve isprave i dokumentaciju ili Izjave kojom ću potvrditi poreklo sredstava i poreklo imovine.

If any affirmative response to the item 1, 2 or 3 hereof is given, I agree to provide the Bank any requested data on the origin of the funds which will be subject of the business relation with the Bank, i.e. on the transaction to be made via the Bank, and to provide data about the origin of assets and that I shall submit the Bank any documents or documentation, or statements confirming the origin of the funds and origin of the assets.

Potvrđujem da sam davanjem ove Izjave upoznat sa propisima Republike Srbije kojim se zahteva da pružim Banci podatke o mom statusu funkcionera i da u tom statusu podležem obaveznom potvrđivanju porekla sredstava koja je predmet mog poslovnog odnosa sa Bankom ili predmet transakcije koju vršim preko Banke i obaveze potvrđivanja porekla celokupne imovine koju posedujem. Takođe potvrđujem da sam upoznat sa definicijom funkcionera, bližeg saradnika funkcionera ili člana uže porodice funkcionera koje su date Zakonom i tekstom ove Izjave.

I confirm that by giving this Statement I am aware of the regulations of the Republic of Serbia which request that I as a client shall provide the Bank the data on my status as official and that I, in this status, am subject to the obligatory confirmation of the origin of the funds which are the subject of the my business relation with the Bank or the subject of the transaction we execute through the Bank and I am subject to the obligatory confirmation of the origin of the assets that I own. I also confirm that I am aware of the definition of official, close associate of official, or close family member of official set out in the Law and in the wording hereof.

Datum: \_\_\_\_\_  
Date: \_\_\_\_\_

Ime, prezime i potpis ovlašćenog lica u Banci:  
Name, surname and signature authorized person in the Bank

Potpis podnosioca Izjave:  
Signature of the person submitting the statement

#### <sup>1</sup> Funkcioner je funkcioner druge države, funkcioner međunarodne organizacije i funkcioner Republike Srbije.

**Funkcioner druge države** je fizičko lice koje obavlja ili je u poslednje četiri godine obavljalo visoku javnu funkciju u drugoj državi i to:

- šefa države i/ili vlade, člana vlade i njegovog zamenika;
- izabranog predstavnika zakonodavnog tela;
- sudije vrhovnog i ustavnog suda ili drugog sudskeg organa na visokom nivou, protiv čije presude, osim u izuzetnim slučajevima, nije moguće koristiti redovni ili vanredni pravni lek;
- člana računskog suda, odnosno vrhovne revizorske institucije i člana organa upravljanja centralne banke;
- ambasadora, otpravnik poslova i visokog oficira oružanih snaga;
- člana upravnog i nadzornog organa pravnog lica koje je u većinskom vlasništvu strane države;
- člana organa upravljanja političke stranke.

**Funkcioner međunarodne organizacije** je fizičko lice koje obavlja ili je u poslednje četiri godine obavljalo visoku javnu funkciju u međunarodnoj organizaciji, kao što je: direktor, zamenik direktora, član organa upravljanja, ili drugu ekvivalentnu funkciju u međunarodnoj organizaciji.

**Funkcioner Republike Srbije** je fizičko lice koja obavlja ili je u poslednje četiri godine obavljalo visoku javnu funkciju u Republici Srbiji i to:

- predsednik države, predsednik Vlade, ministar, državni sekretar, posebni savetnik ministra, pomoćnik ministra, sekretar ministarstva, direktor organa u sastavu ministarstva i njegovi pomoćnici, i direktor posebne organizacije, kao i njegov zamenik i njegovi pomoćnici;
- narodni poslanik;
- sudije Vrhovnog kasacionog, Privrednog apelacionog i Ustavnog suda;
- predsednik, potpredsednik i član saveta Državne revizorske institucije;
- guverner, viceguverner, član izvršnog odbora i član Saveta guvernera Narodne banke Srbije;
- lice na visokom položaju u diplomatsko-konzularnim predstavništvima (ambasador, generalni konzul, otpravnik poslova);
- član organa upravljanja u javnom preduzeću ili privrednom društvu u većinskom vlasništvu države;
- član organa upravljanja političke stranke.

#### <sup>1</sup> Official – means an official of a foreign country, official of an international organisation and official of the Republic of Serbia.

**Official of a foreign country** is a natural person who holds or who has held in the last four years a high-level public office in a foreign country, such as:

- head of State and/or head of government, member of government and their deputies;
- elected representative of legislative body;
- judge of the supreme or constitutional court or of other high-level judicial bodies whose judgments are not subject to further regular or extraordinary legal remedies, save in exceptional cases;
- member of courts of auditors, supreme audit institutions or managing boards of central banks;
- ambassador, chargés d'affaires and high-ranking officer of armed forces;
- member of managing or supervisory bodies of legal entities whose majority-owned by the State;
- member of the managing body of a political party.

**Official of an international organisation** means a natural person who holds or who has held in the last four years a high-level public office in an international organisation, such as: director, deputy director, member of managing boards or other equivalent function in an international organization.

**Republic of Serbia official** means a natural person who holds or who has held in the last four years a high-level public office in Serbia, such as:

- president of the country, prime minister, minister, state secretary, special advisor to a minister, assistant minister, secretary of the ministry, director of an authority within a ministry and – their assistants and director of an independent organisation, as well as their deputies and assistants;
- member of parliament;
- judge of the Supreme Court of Cassation, Commercial Appellate Court and Constitutional Court;
- president, vice president and member of the council of the State Audit Institution;
- Governor, Vice-Governor, member of the executive board and member of the Council of the Governor of the National Bank of Serbia;
- person entrusted with a prominent office in diplomatic – consular offices (ambassador, consul general, chargé d'affaires);
- member of a managing board of a public enterprise or company majority-owned by the State;
- member of the managing body of a political party.

#### <sup>2</sup> Član uže porodice funkcionera jeste bračni ili vanbračni partner, roditelji, braća i sestre, deca, usvojena deca i pastorčad i njihovi bračni ili vanbračni partneri.

<sup>2</sup> **Close family member** of the official shall include the spouse or extra-marital partner, the parents, the brothers and sisters, the children, adopted children and stepchild and their spouses or extra-marital partners.

<sup>3</sup> **Bliži saradnik funkcionera** jeste fizičko lice koje ostvaruje zajedničku dobit iz imovine ili uspostavljenog poslovnog odnosa ili ima bilo koje druge bliske poslovne odnose sa funkcionerom.

<sup>3</sup> **Close associate** of the official shall include any natural person who draws common benefit from property or from a business relationship or who has any other close business relationship with the official.